

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
YOR920030304US2

In Re Application Of: **Elbert Huang, et al.**

Serial No.  
10/699,238

Filing Date  
October 31, 2003

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: **POLYCARBOSILANE BURIED ETCH STOPS IN INTERCONNECT STRUCTURES**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR:**
- ☐ the fee set forth in 37 CFR 1.17(p).

JP115-307110-10  
FEB 05 2004  
OIP

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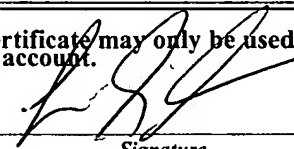
**POLYCARBOSILANE BURIED ETCH STOPS IN INTERCONNECT STRUCTURES**

**Payment of Fee**

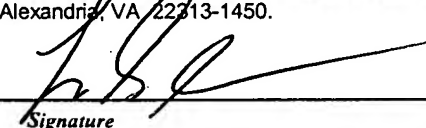
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0510/IBM** as described below.
- ☐ Charge the amount of \_\_\_\_\_
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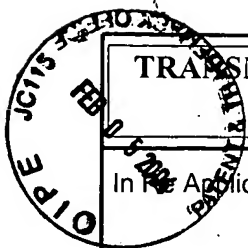
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I certify that this document and fee is being deposited on <b>February 3, 2004</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
_____ Signature	
_____ Leslie S. Szivos, Ph.D.	
_____ Typed or Printed Name	

\*This certificate may only be used if paying by deposit account.

Dated: February 3, 2004

CC:



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\_\_\_\_\_  
Signature

**Leslie S. Szivos, Ph.D.**

\_\_\_\_\_  
Typed or Printed Name

Dated: **February 3, 2004**

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Elbert Huang, et al.      **Examiner:** Unassigned  
**Serial No:** 10/699,238      **Art Unit:** Unassigned  
**Filed:** October 31, 2003      **Docket:** YOR920030304US2(16973)  
**For:** POLYCARBOSILANE      **Dated:** February 3, 2004  
BURIED ETCH STOPS IN  
INTERCONNECT STRUCTURES

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 23313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

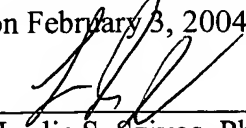
1. U.S. Patent No. 5,602,060, issued February 11, 1997 to Kobayashi, et al.
2. U.S. Patent No. 6,395,649B1, issued May 28, 2002 to Wu
3. U.S. Patent No. 6,162,743, issued December 19, 2000 to Chu, et al.
4. U.S. Patent No. 5,789,325, issued August 4, 1998, to Chandra, et al.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450 on February 3, 2004.

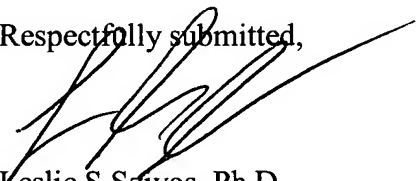
Dated: February 3, 2004

  
Leslie S. Szivos, Ph.D.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

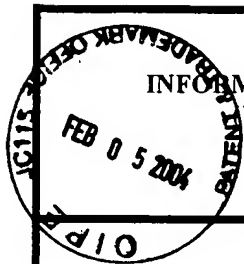
Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Leslie S Szivos, Ph.D.  
Registration No.: 39,394

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

**INFORMATION DISCLOSURE CITATION**

Use several sheets if necessary)

Docket Number (Optional)

YOR920030304US2(16973)

Application Number

10/699,238

Applicant(s)

Elbert Huang, et al.

Filing Date

October 31, 2003

Group Art Unit

Unassigned

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLA SS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,602,060	2/11/97	Kobayashi, et al			
		6,395,649B1	5/28/02	Wu			
		6,162,743	12/19/00	Chu, et al.			
		5,789,325	8/4/98	Chandra, et al.			

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLA SS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.